

# N. KHANNA Company Secretaries

94, SFS, Sector-11 Extn. Pocket-10, Rohini, Delhi-110085.

The Board of Directors  
Moongipa Securities Limited  
18/14, W.E.A Pusa Lane Karol Bagh  
New Delhi - 110005

## AUDIT REPORT

(As per regulation 55A of the SEBI (Depositories and Participants) Regulations, 1996)

1. For quarter ended : 30.09.2013
2. ISIN : Not in Demat
3. Face Value : Rs.10/-each
4. Name of the Company : Moongipa Securities Limited
5. Registered Office Address : 18/14, W.E.A Pusa Lane  
Karol Bagh, New Delhi -05
6. Corresponding Address : 18/14, W.E.A Pusa Lane  
Karol Bagh, New Delhi - 05
7. Telephone & Fax Nos. : (Ph.) 011-41450121  
(Fax) 011-41450122
8. Email Address : moongipa@in.com
9. Names of Stock Exchanges where  
the Company's securities are listed : JSE, DSE and ASE

	Number of Shares	% of total issued capital
10. Issued Capital	50,10,200	100%
11. Listed Capital (Exchange wise)	50,10,200	100%
12. Held in dematerialised form in CDSL	NILL	NILL
13. Held in dematerialised form in NSDL	NILL	NILL
14. Physical	50,10,200	100%
15. <b>Total</b>	<b>50,10,200</b>	<b>100%</b>



16. Reasons for difference if any, between : N.A.  
(10&11), (10&15), (11&15)

17. Certifying the details of changes in share capital during the quarter under consideration as per Table below: **NO CHANGE WAS MADE**

Particulars***	No. of Shares	Applied/Not Applied for listing	Listed on Stock Exchanges (specify the names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Approval pending for SE (specify names)

\*\*\* Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buy-back, Capital Reduction, Forfeiture, Any other (to specify)

18. Register of Members is updated : Yes

19. Reference of previous quarter with reference to excess dematerialized shares : N.A.

20. Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why? : N.A.

21. Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reason for delay:

Total no. of demat request	No. of requests	No. of Shares	Reasons for delay
Confirmed after 21 days	NIL	NIL	NIL
Pending for more than 21 days	NIL	NIL	NIL

22. Name, Telephone & Fax no. of the Compliance Officer of the Company : Mr. Sanjay Jain  
(Ph.) 011 - 41450121  
(Fax) 011 - 41450122



