

**ANNEXURE-D**

**FORM NO. MR-3**

**SECRETARIAL AUDIT REPORT**

**FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2017**

**CS Sangeeta Harpalani**  
B.Sc., FCS, ACMA, LL.B., MBA  
(Fin.), M.Com  
Company Secretary

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**SECRETARIAL AUDIT REPORT**

**FOR THE FINANCIAL YEAR ENDED ON 31ST MARCH, 2017**  
**[Pursuant to Section 204(1) of the Companies Act, 2013**  
**and Rule 9 of the Companies (Appointment and**  
**Remuneration of Managerial Personnel) Rules, 2014]**

To,  
The Members,  
Moongipa Securities Limited  
18/14, W.E.A. Pusa Lane,  
Karol Bagh,  
New Delhi -110005

I have conducted the secretarial audit of the compliance of applicable statutory provisions and the adherence to good corporate practices by MOONGIPA SECURITIES LIMITED (CIN: L74899DL1994PLC057941) (hereinafter called "the Company"). Secretarial Audit was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing my opinion thereon.

Based on my verification of the Company's books, papers, minute books, forms and returns filed and other records maintained by the Company and also the information provided by the Company, its officers, agents and authorized representatives during the conduct of secretarial audit, I hereby report that in my opinion, the Company has, during the audit period covering the financial year ended on 31st March, 2017 complied with the statutory provisions listed hereunder and also that the Company has proper Board-processes and compliance-mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

I have examined the books, papers, minute books, forms and returns filed and other records maintained by the Company for the financial year ended on 31st March, 2017 according to the provisions of:

- (i) The Companies Act, 2013 (as amended) ('the Act') and the Rules made there under
- (ii) The Securities Contracts (Regulation) Act, 1956(as amended) and the Rules made there under

