

(1)(a) Statement showing Shareholding Pattern

Name of the Company: MOONGIPA SECURITIES LIMITED

Scrip Code, Name of the scrip, class of security: , MOONGIPA SECURITIES LIMITED, EQUITY SHARES

Quarter ended: 30-09-2013

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total - D	NIL	NIL	NIL

Outstanding convertible securities: No. of outstanding securities		As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total - E	NIL	NIL	NIL

Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	NIL	NIL	NIL
Held by public	NIL	NIL	NIL
Total - F	NIL	NIL	NIL

Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total (A+B+C)+ D+E+F) **50,10,200**

MOONGIPA SECURITIES LTD.
Quarter Ended : 30-09-2013

(1)(a) Statement showing Shareholding Pattern

Name of the Company: MOONGIPA SECURITIES LIMITED
Scrip Code:
Quarter ended: 30-09-2013

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of (A+B)	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV) *100
(A)	Shareholding of Promoter and Promoter Group ²							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	8	1568250	NIL	31.30	31.30	NIL	NIL
(b)	Central Government/ State Government(s)							
(c)	Bodies Corporate	1	810725	NIL	16.18	16.18	NIL	NIL
(d)	Financial Institutions/ Banks							
(e)	Any Other (specify)							
	Sub-Total (A)(1)	9	2378975	NIL	47.48	47.48	NIL	NIL
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)							
(b)	Bodies Corporate							
(c)	Institutions							
(d)	Any Other (specify)							
	Sub-Total (A)(2)							
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	9	2378975	NIL	47.48	47.48	NIL	NIL
(B)	Public shareholding ³						NA	NA
(1)	Institutions						NA	NA
(a)	Mutual Funds/ UTI							

	(b) Financial Institutions/ Banks							
	(c) Central Government/ State Government(s)							
	(d) Venture Capital Funds							
	(e) Insurance Companies							
	(f) Foreign Institutional Investors							
	(g) Foreign Venture Capital Investors							
	(h) Any Other (specify)							
	Sub-Total (B)(1)							
(2)	Non-institutions						NA	NA
	(a) Bodies Corporate	3	465000	NIL	9.28	9.28	NIL	NIL
	(b) Individuals -	1575	1101700	NIL	21.99	21.99	NIL	NIL
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.							
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	19	1064525	NIL	21.25	21.25	NIL	NIL
	(c) Any Other (specify)							
	Sub-Total (B)(2)	1597	2631225	NIL	52.52	52.52	NIL	NIL
	Total Public Shareholding (B)= (B)(1)+(B)(2)						NA	NA
	TOTAL (A)+(B)	1606	5010200	NIL	100.00	100.00	NIL	NIL
(C)	Shares held by Custodians and against which Depository Receipts have been issued	Nil	Nil	Nil	NA		NA	NA
(1)	Promoter & Promoter Group	Nil	Nil	Nil	NA		NA	NA
(2)	Public	Nil	Nil	Nil	NA		NA	NA
	Sub Total C (1)&(2)	Nil	Nil	Nil	NA		NA	NA
	GRAND TOTAL (A)+(B)+(C)	1606	5010200	NIL	100.00	100.00	NIL	NIL

(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants			Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	As a % total number of convertible securities of the same class		
	(II)	(III)	(IV)	(V)	(VI) = (V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	
1	Suresh Chander Jain	247200	4.93		0.00	0.00		0		0		
2	Suresh Chander Jain & Sons	144300	2.88		0.00	0.00		0		0		
3	Sanjay Jain	249000	4.97		0.00	0.00		0		0		
4	Nirmal Jain	185400	3.70		0.00	0.00		0		0		
5	Rueli Jain	159200	3.18		0.00	0.00		0		0		
6	Pooja Jain	248250	4.95		0.00	0.00		0		0		
7	Rosy Jain	232400	4.64		0.00	0.00		0		0		
8	Vimal Mehta	102500	2.05		0.00	0.00		0		0		
9	Moongipa Capital Finance Ltd.	810725	16.18		0.00	0.00		0		0		
TOTAL		2378975	47.48	0	0.00	0.00	0	0	0	0	0	

(*) The term "encumbrance" has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

(D)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares [i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above]	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL		0.00		0		0	
2	NIL		0.00		0		0	
3	NIL		0.00		0		0	
4	NIL		0.00		0		0	
5	NIL		0.00		0		0	
6	NIL		0.00		0		0	
7	NIL		0.00		0		0	
8	NIL		0.00		0		0	
9	NIL		0.00		0		0	
10	NIL		0.00		0		0	
TOTAL	NIL	0	0.00	0	0	0	0	0

(D)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	NIL		0.00		0		0	
2	NIL		0.00		0		0	
3	NIL		0.00		0		0	
4	NIL		0.00		0		0	
5	NIL		0.00		0		0	
6	NIL		0.00		0		0	
7	NIL		0.00		0		0	
8	NIL		0.00		0		0	
9	NIL		0.00		0		0	
10	NIL		0.00		0		0	
TOTAL	NIL	0	0.00	0	0	0	0	0

(D)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (D)(a) above)
1	NIL		0.00
2	NIL		0.00
3	NIL		0.00
4	NIL		0.00
5	NIL		0.00
6	NIL		0.00
7	NIL		0.00
8	NIL		0.00
9	NIL		0.00
TOTAL	NIL	0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	NIL			0.00
2	NIL			0.00
3	NIL			0.00
4	NIL			0.00
5	NIL			0.00
6	NIL			0.00
7	NIL			0.00
8	NIL			0.00
9	NIL			0.00
TOTAL	NIL	0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para D(a) above)
1	NIL			0.00
2	NIL			0.00
3	NIL			0.00
4	NIL			0.00
5	NIL			0.00
6	NIL			0.00
7	NIL			0.00
8	NIL			0.00
9	NIL			0.00
TOTAL	NIL		0	0.00