



MOONGIPA SECURITIES LTD.

Quarterly Compliance Report on Corporate Governance

Name of the Company: Moongipa Securities Limited

Scrip Code : 539199 Quarter ending on: 30/09/2015

Particulars	Clause of Listing agreement	Compliance Status Yes/No/N.A	Remarks
II. Board of Directors	49 (II)	Yes	
(A) Composition of Board	49 (IIA)	Yes	The Company has 2(two) Executive Directors & 2(two) Non-Executive & Independent Directors.
(B) Independent Directors	49 (IIB)	Yes	The Company has 2 Independent Directors which meet the criteria of Independence as per Clause 49(IIB)
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	Yes	Shall form Part of the Annual Report 15-16
(D) Other provisions as to Board and Committees	49 (IID)	Yes	
(E) Code of Conduct	49 (IIE)	Yes	
(F) Whistle Blower Policy	49 (IIF)	Yes	
III. Audit Committee	49 (III)	Yes	
(A) Qualified & Independent Audit Committee	49 (IIIA)	Yes	
(B) Meeting of Audit Committee	49 (IIIB)	Yes	
(C) Powers of Audit Committee	49 (IIIC)	Yes	
(D) Role of Audit Committee	49 (IIID)	Yes	As per Law
(E) Review of Information by Audit Committee	49 (IIIE)	Yes	As per Law





(CIN : L74899DL1994PLC057941)

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IV. Nomination and Remuneration Committee	49 (IV)	Yes	
V. Subsidiary Companies	49 (V)	N.A.	There is no Subsidiary in the Company.
VI. Risk Management	49 (VI)	Yes	
VII. Related Party Transactions	49 (VII)	Yes	
VIII. Disclosures	49 (VIII)	Yes	
(A) Related party transactions	49 (VIII A)	Yes	Shall form Part of the Annual Report 15-16.
(B) Disclosure of Accounting Treatment	49 (VIII B)	Yes	----do----
(C) Remuneration of Directors	49 (VIII C)	Yes	----do----
(D) Management	49 (VIII D)	Yes	----do----
(E) Shareholders	49 (VIII E)	Yes	----do----
(F) Proceeds from public issues, rights issue, preferential issues, etc	49 (VIII F)	N.A.	
IX. CEO/CFO Certification	49 (IX)	Yes	Shall form Part of the Annual Report 15-16
X. Report on Corporate Governance	49 (X)	Yes	Included in the Annual Report of the Company.
XI. Compliance	49 (XI)	Yes	Included in the Annual Report of the Company

For Moongipa Securities Ltd.

Sanjeev Jain

Company Secretary & Compliance Officer